UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE      | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|------------------|----------------------|---------------------|------------------|
| 10/581,553      | 01/17/2007       | Terukazu Tokuoka     | 050388-0045         | 8681             |
|                 | 7590             | EXAMINER             |                     |                  |
| 600 13TH STR    | EET, N.W.        | LEE, REBECCA Y       |                     |                  |
| WASHINGTO       | N, DC 20005-3096 |                      | ART UNIT            | PAPER NUMBER     |
|                 |                  |                      | 1793                |                  |
|                 |                  |                      |                     |                  |
|                 |                  |                      | MAIL DATE           | DELIVERY MODE    |
|                 |                  |                      | 02/23/2010          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s)   |  |
|-----------------|----------------|--|
| 10/581,553      | TOKUOKA ET AL. |  |
| Examiner        | Art Unit       |  |
| REBECCA LEE     | 1793           |  |

|  | REBECCA LEE   | 1793  |   |
|--|---|---|---|
| The MAILING DATE of this communication appe  | ars on the cover sheet with the c   | orrespondence add   | ress                                    |
| THE REPLY FILED <u>17 February 2010</u> FAILS TO PLACE THIS A  | APPLICATION IN CONDITION FO   | R ALLOWANCE.  |   |
| 1.  The reply was filed after a final rejection, but prior to or on application, applicant must timely file one of the following rapplication in condition for allowance; (2) a Notice of Appe for Continued Examination (RCE) in compliance with 37 C periods:  | the same day as filing a Notice of A<br>replies: (1) an amendment, affidavit<br>al (with appeal fee) in compliance w      | Appeal. To avoid abar<br>., or other evidence, w<br>with 37 CFR 41.31; or | hich places the (3) a Request           |
| a) The period for reply expires 3 months from the mailing date b) The period for reply expires on: (1) the mailing date of this Adno event, however, will the statutory period for reply expire la Examiner Note: If box 1 is checked, check either box (a) or (I MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f   | dvisory Action, or (2) the date set forth i<br>tter than SIX MONTHS from the mailing<br>b). ONLY CHECK BOX (b) WHEN THE   | date of the final rejectio  | n.                                      |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date of have been filed is the date for purposes of determining the period of extrumer 37 CFR 1.17(a) is calculated from: (1) the expiration date of the siset forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL  | ension and the corresponding amount of<br>hortened statutory period for reply origin                                      | of the fee. The appropria<br>nally set in the final Offic                 | te extension fee<br>e action; or (2) as |
| 2. The Notice of Appeal was filed on A brief in compl filing the Notice of Appeal (37 CFR 41.37(a)), or any exten Notice of Appeal has been filed, any reply must be filed with AMENDMENTS   | sion thereof (37 CFR 41.37(e)), to  | avoid dismissal of the  |   |
| 3. The proposed amendment(s) filed after a final rejection, be  (a) They raise new issues that would require further con  (b) They raise the issue of new matter (see NOTE below  (c) They are not deemed to place the application in bett appeal; and/or  (d) They present additional claims without canceling a content of the second co | nsideration and/or search (see NOT<br>w);<br>er form for appeal by materially rec<br>corresponding number of finally reje | E below);<br>lucing or simplifying th                                     |   |
| NOTE: See Continuation Sheet. (See 37 CFR 1.12 4. The amendments are not in compliance with 37 CFR 1.12 5. Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) would be allowed non-allowable claim(s). 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is proved the status of the claim(s) is (or will be) as follows:  Claim(s) allowed:  Claim(s) objected to:  Claim(s) withdrawn from consideration: 6-8.  | 21. See attached Notice of Non-Cor<br><br>owable if submitted in a separate, t<br>☑ will not be entered, or b) ☐ will     | imely filed amendmer  | t canceling the                         |
| AFFIDAVIT OR OTHER EVIDENCE  |   |   |   |
| <ol> <li>The affidavit or other evidence filed after a final action, but<br/>because applicant failed to provide a showing of good and<br/>was not earlier presented. See 37 CFR 1.116(e).</li> </ol>  |   |   |   |
| <ol> <li>The affidavit or other evidence filed after the date of filing a entered because the affidavit or other evidence failed to or showing a good and sufficient reasons why it is necessary</li> </ol>  | vercome <u>all</u> rejections under appea<br>and was not earlier presented. Se  | ll and/or appellant fails<br>ee 37 CFR 41.33(d)(1)                        | s to provide a                          |
| 10. ☐ The affidavit or other evidence is entered. An explanation<br>REQUEST FOR RECONSIDERATION/OTHER  | n of the status of the claims after er  | ntry is below or attache  | ed.                                     |
| The request for reconsideration has been considered but<br>See Continuation Sheet.   | does NOT place the application in   | condition for allowand  | ce because:                             |
| <ul><li>12. ☐ Note the attached Information <i>Disclosure Statement</i>(s). (</li><li>13. ☐ Other:</li></ul>   | PTO/SB/08) Paper No(s)  |   |   |
| /Roy King/<br>Supervisory Patent Examiner, Art Unit 1793   |   |   |   |

Continuation of 3. NOTE: The amended features in claim 1 change the scope of finally rejected claim, and requires further search and consideration.

Continuation of 11. does NOT place the application in condition for allowance because: Applicant argues that Otani et al. intent to improve the wear resistance of the alloy, which requires the grain size to be 7 micron or more; but has not provided any factual evidence to support this assertion. In contrast, Otani et al. teach the grain size of the alloy to be less than 50 microns, which broadly encompass the claimed range. Thus, applicant's argument is not convincing.